

# Securing Data Integrity Against Ransomware Attacks:

## *Using the NIST Cybersecurity Framework and NIST Cybersecurity Practice Guides*

Jennifer Cawthra  
*National Cybersecurity Center of Excellence  
Gaithersburg, MD*

Michael Ekstrom  
Lauren Lusty  
Julian Sexton  
John Sweetnam  
Anne Townsend  
*The MITRE Corporation*

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[ds-nccoe@nist.gov](mailto:ds-nccoe@nist.gov)

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The NIST logo consists of the letters "NIST" in a bold, blue, sans-serif font.

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## Abstract

31 The National Cybersecurity Center of Excellence (NCCoE) at the National Institute of Standards  
32 and Technology (NIST) is actively engaged in helping organizations address the challenge of  
33 ransomware and other data integrity events through the Data Integrity projects. These projects  
34 help organizations implement technical capabilities that address data integrity issues. The  
35 objective of this document is to provide an overview of these Data Integrity projects; provide a  
36 high-level explanation of the architecture and capabilities; and explain how these projects can  
37 be brought together into one comprehensive data integrity solution.  
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## Keywords

40 data integrity; data security; malware; ransomware; security architecture.

41

## Disclaimer

42 Any mention of commercial products or reference to commercial organizations is for information  
43 only; it does not imply recommendation or endorsement by NIST, nor does it imply that the  
44 products mentioned are necessarily the best available for the purpose.

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## Additional Information

46 For additional information on NIST's Cybersecurity programs, projects and publications, visit the  
47 Computer Security Resource Center. Information on other efforts at NIST and in the Information  
48 Technology Laboratory (ITL) is also available.  
49

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National Institute of Standards and Technology

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Attn: Applied CyberSecurity Division, Information Technology Laboratory

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100 Bureau Drive (Mail Stop 2002) Gaithersburg, MD 20899-2002

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Email: ds-nccoe@nist.gov

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All comments are subject to release under the Freedom of Information Act (FOIA)

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## 105 **1 Ransomware and Data Integrity**

### 106 **1.1 Purpose**

107 This guide is designed for organizations that are not currently experiencing a loss of data  
108 integrity event (ransomware or otherwise). This document prepares an organization to  
109 adequately address future data integrity events. For information on dealing with a current  
110 attack, please explore guidance from organizations like the Federal Bureau of Investigation [1],  
111 the United States Secret Service [2], or other pertinent groups or government bodies.

### 112 **1.2 Introduction**

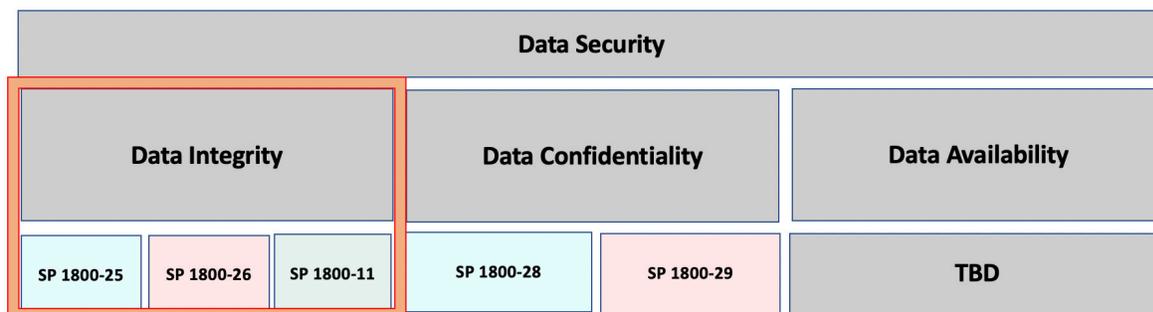
113 Successful ransomware impacts data's integrity, yet ransomware is just one of many potential  
114 vectors through which an organization could suffer a loss of data integrity. Integrity is part of  
115 the CIA security triad [5] which encompasses Confidentiality, Integrity, and Availability. As the  
116 CIA triad is applied to data security, data integrity is defined [6] as "the property that data has  
117 not been changed, destroyed, or lost in an unauthorized or accidental manner." An attack  
118 against data integrity can cause corruption, modification, and/or destruction of the data which  
119 ultimately results in a loss in trust in the data.

120 The National Cybersecurity Center of Excellence (NCCoE) at the National Institute of Standards  
121 and Technology (NIST) is actively engaged in helping organizations address the challenge of  
122 ransomware and other data integrity events through the Data Integrity projects. These projects  
123 help organizations implement technical capabilities that address data integrity issues.

124 Ransomware is one of the many use-case examples in these projects.

125 This document provides an overview of these Data Integrity projects; providing a high-level  
126 explanation of the architecture and capabilities, and how these projects can be brought  
127 together into one comprehensive data integrity solution. This comprehensive data integrity  
128 solution can then be integrated into a larger security picture to address all of an organization's  
129 data security needs.

130 To continue its work with the security triad, the NCCoE, at the time of this publication is  
131 developing data confidentiality projects through the publications of SP 1800-28 and SP 1800-29.  
132 Data availability has not been pursued yet as an SP 1800-series publication, but research is  
133 being conducted to determine how NIST, through the NCCoE, can best address this subject as  
134 well.



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Figure 1-1 Data Security Projects

137  
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### 1.3 NCCoE Efforts in Data Integrity

139 Ransomware, destructive malware, insider threats, and even honest user mistakes present  
 140 ongoing threats to organizations. Organizations’ data, such as database records, system files,  
 141 configurations, user files, applications, and customer data, are all potential targets of data  
 142 corruption, modification, and destruction. This document provides an overview of three data  
 143 integrity projects that are aligned with the functions in the NIST Cybersecurity Framework with  
 144 the goal of formulating a defense against data integrity challenges. NIST published version 1.1  
 145 of the Cybersecurity Framework [7] in April 2018 to provide guidance on protecting and  
 146 developing resiliency for critical infrastructure and other sectors. In this document, the  
 147 framework core contains five functions:

148 **IDENTIFY** – Develop an organizational understanding  
 149 to manage cybersecurity risk to systems, people,  
 150 assets, data, and capabilities.

151 **PROTECT** – Develop and implement appropriate  
 152 safeguards to ensure delivery of critical services.

153 **DETECT** – Develop and implement appropriate  
 154 activities to identify the occurrence of a cybersecurity  
 155 event.

156 **RESPOND** – Develop and implement appropriate  
 157 activities to take action regarding a detected  
 158 cybersecurity incident.

159 **RECOVER** – Develop and implement appropriate activities  
 160 to maintain plans for resilience and to restore any  
 161 capabilities or services that were impaired due to a cybersecurity incident.



Figure 1-2 Framework Core Functions

162 When applying the Cybersecurity Framework to data integrity, a natural separation into three  
 163 distinct projects based on the lifecycle of a data integrity attack was apparent. Before an attack,  
 164 one must identify all assets and potential vulnerabilities and protect these assets including  
 165 remedying the discovered vulnerabilities. This concept is described in the practice guide SP

166 *1800-25 Data Integrity: Identifying and Protecting Assets Against Ransomware and Other*  
167 *Destructive Events.* To plan for how an organization can handle when an attack occurs, one  
168 needs to have the capabilities to detect and respond to destructive events. *SP 1800-26 Data*  
169 *Integrity: Detecting and Responding to Ransomware and Other Destructive Events* addresses  
170 this challenge. Lastly, should a data integrity attack be successful, an organization must have  
171 the capability to recover which is described in *SP 1800-11 Data Integrity: Recovering from*  
172 *Ransomware and Other Destructive Events.*



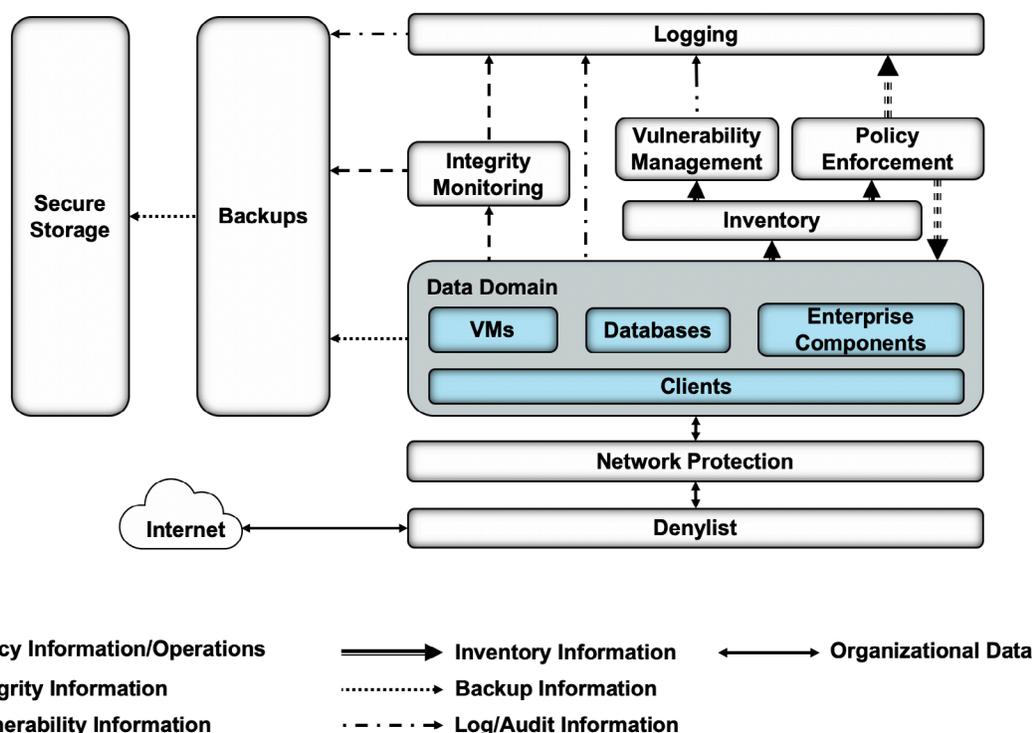
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**Figure 1-3 Division of CSF Functions Across Data Integrity Projects**

175 The next three sections will summarize each of the three projects' architecture and capabilities.  
176 For more in-depth understanding of the projects, the associated SP 1800 document should be  
177 referenced.

178 **2 Special Publication (SP) 1800-25 Data Integrity: Identifying and Protecting**  
 179 **Assets Against Ransomware and Other Destructive Events**

180 *SP 1800-25 Data Integrity: Identifying and Protecting Assets Against Ransomware and Other*  
 181 *Destructive Events* addresses data integrity before a potential attack. It details the need for a  
 182 thorough knowledge of the assets within the enterprise and the protection of these assets  
 183 against the threat of data corruption and destruction. This project proposes an architecture  
 184 with multiple systems that work together to identify and protect an organization’s assets  
 185 against the threat of corruption, modification, and destruction. The purpose of this project is to  
 186 help guide organizations to effectively identify assets (devices, data, and applications) that may  
 187 become targets that enable a data integrity attack, as well as the vulnerabilities that facilitate  
 188 these attacks. It also explores methods to protect these assets against data integrity attacks.



189 **Figure 2-1 SP 1800-25 Architecture**  
 190

191 The following is a brief description of the capabilities. For more information, visit *SP 1800-25*  
 192 *Data Integrity: Identifying and Protecting Assets Against Ransomware and Other Destructive*  
 193 *Events*.

194 In order to identify and protect data against destructive events, it is necessary to understand  
 195 the systems and devices on which an organization’s data resides. The capability to inventory  
 196 allows for discovery, and tracking devices connected to the enterprise. Once an organization  
 197 has awareness of its networks and devices, more capabilities can be thoroughly applied.  
 198 Vulnerability Management provides a mechanism for analyzing these various network  
 199 components. This capability provides for a better understanding of resolved and unresolved

200 vulnerabilities in the enterprise, and allows an organization to make informed decisions about  
201 handling known vulnerabilities to best protect prioritized data. The Logging capability records  
202 and stores all the log files produced by these components within the enterprise. Together the  
203 Vulnerability Management and logs contribute to the Policy Enforcement capability. Policy  
204 Enforcement targets machines with unresolved vulnerabilities and helps maintain overall  
205 enterprise health.

206 The Integrity Monitoring capability establishes baselines of files and systems, which is essential  
207 in determining information about any integrity changes that occur to the data within those files  
208 and systems. At the same time, the Backup capabilities allow components within the enterprise  
209 to produce backup files of data. Some stored data, including backup files, may benefit from a  
210 Secure Storage capability. Secure Storage allows data storage with additional data protection  
211 measures, such as write once read many technologies.

212 In addition to file-level protections, a Network Protection capability can defend an enterprise  
213 network against both intrusion and lateral movement of malicious actors and programs.  
214 Network protections can be supplemented by Denylist<sup>1</sup> capabilities which can filter allowed  
215 programs or network communications. Often, this may be provided in the form of a firewall or  
216 even an allowlist, but products exist that allow finer-grained control over these filters.

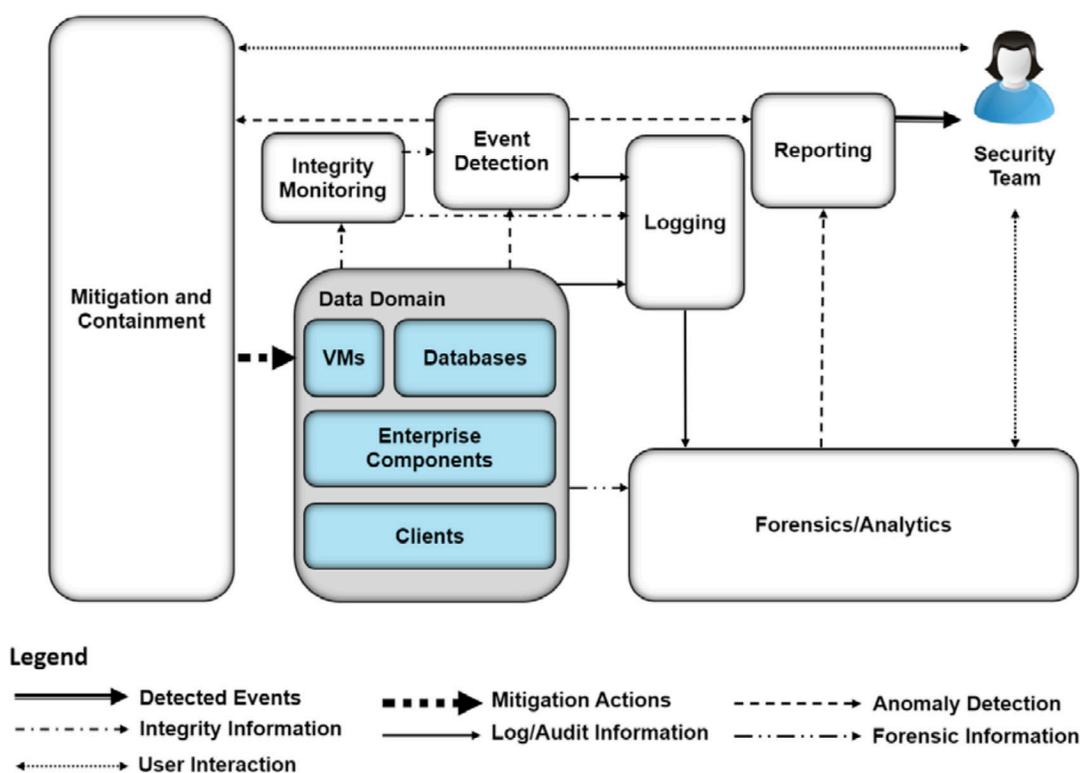
217 Through the use and integration of these technologies, an organization can prepare for a  
218 potential loss of data integrity before such an event occurs by identifying their assets and  
219 protecting them against attacks.

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<sup>1</sup> Some past documents for the Data Integrity projects, such as the project descriptions and practice guide drafts, are still available for archival purposes. These documents used alternative terms for denylists and allowlists. These terms do not reflect current NIST practices.

220 **3 SP 1800-26 Data Integrity: Detecting and Responding to Ransomware and**  
 221 **Other Destructive Events**

222 *SP 1800-26 Data Integrity: Detecting and Responding to Ransomware and Other Destructive*  
 223 *Events* focuses on when a data integrity attack is occurring. The architecture from this project  
 224 demonstrates that policies and tools must be in place that detect and respond to data integrity  
 225 events. Prior to an event, information must be gathered to understand the range of normal  
 226 activity. Tools must be in place to detect any deviation from normal that might be a data  
 227 integrity event. Policies must be established to respond efficiently and effectively. The purpose  
 228 of this project is to help guide organizations in establishing the tools and procedures to detect  
 229 data integrity events and respond in an appropriate and timely fashion.  
 230



231  
 232

Figure 3-1 SP 1800-26 Architecture

233 The following is a brief description of the capabilities. For more information, visit *SP 1800-26*  
 234 *Data Integrity: Detecting and Responding to Ransomware and Other Destructive Events*.

235 An Integrity Monitoring capability continues to be used in this architecture as it was in the  
 236 above project. However, the focus shifts from establishing baselines for assets and their data to  
 237 monitoring them for unauthorized changes. The Logging capability, also from the above project,  
 238 provides the ability to aggregate logs from many sources, including the Integrity capability.  
 239 These logs are fed into an Event Detection capability which provides analysis of activity that  
 240 indicates events such as malware, intrusions, and other anomalies which may have an

241 undesirable impact on the integrity of an organization's data. The Event Detection capability  
242 turns logs into information that is more readily digested by security professionals. The  
243 Forensics/Analytics capability also uses the aggregated logs to discover the source and effects  
244 of any destructive event on data and enables security teams to make the changes necessary to  
245 prevent similar events in the future.

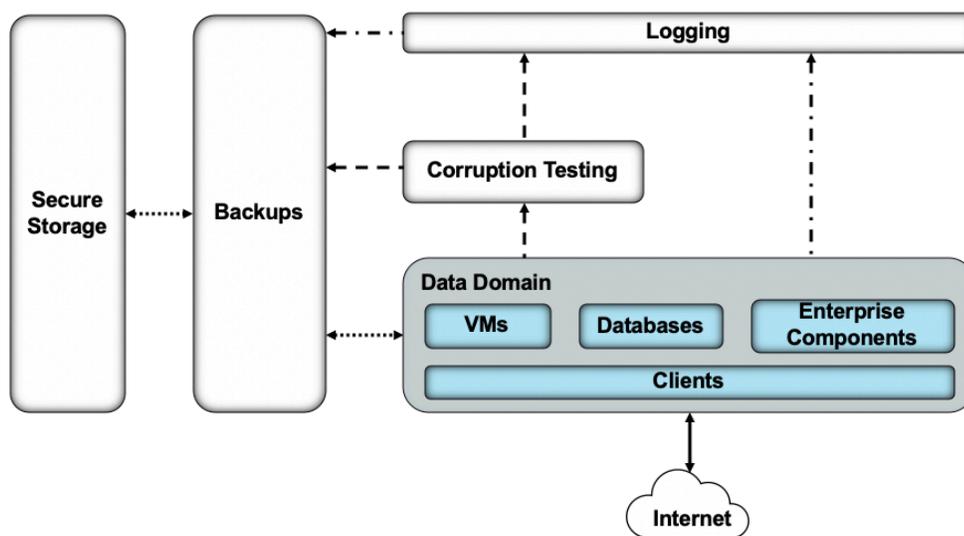
246 A Mitigation and Containment capability provides the ability to limit a destructive event's affect  
247 on the enterprise and its data. This response, which can be automated or integrated with  
248 activity by a security team, can involve stopping execution of associated programs, disabling  
249 user accounts, disconnecting a system from the network, or more, depending on the threat.

250 The reporting component is primarily an interface between various components of the  
251 architecture and the security team. It allows alerting through email and dashboards based on  
252 predetermined events, depending on the organization's need. The reporting capabilities are  
253 best used for the duration of an event. They can be used to alert the security team when an  
254 event starts, as well as to provide regular status updates when events are not happening or  
255 have just finished.

256 When these components work together, security teams and their tools are enabled to detect a  
257 loss of data integrity and respond to the event.

258 **4 SP 1800-11 Data Integrity: Recovering from Ransomware and Other**  
 259 **Destructive Events**

260 *SP 1800-11 Data Integrity: Recovering from Ransomware and Other Destructive Events,*  
 261 demonstrates that if data integrity has been jeopardized, multiple systems work in concert to  
 262 recover from the event. The solution recommends capabilities and explores issues around  
 263 auditing and reporting to support recovery and investigations. The purpose of this project is to  
 264 help guide organizations in establishing the tools and procedures to recover to a last known  
 265 good dataset.  
 266



**Legend**

=====>	Policy Information/Operations	————>	Inventory Information	————>	Organizational Data
- - - ->	Integrity Information	.....>	Backup Information		
- · · ·>	Vulnerability Information	- · · ·>	Log/Audit Information		

267 **Figure 4-1 SP 1800-11 Architecture**  
 268

269 In order to recover from a loss of data integrity, an organization must have taken action before  
 270 the destructive event occurred. While the focus of this project is on recovery processes, it also  
 271 documents those capabilities that must have already been in place to facilitate a recovery.

272 One crucial capability to have in place is the ability to backup data, in order to store copies that  
 273 an organization has prioritized. Within this project, compromised data is restored from non-  
 274 compromised previous versions in existing backup files. One method of ensuring that these  
 275 backup files remain unaltered until they are needed is by storing them using a secure storage  
 276 capability, which reduces or eliminates the risk to stored data.

277 In order to understand what data needs to be restored, a corruption testing capability is  
 278 utilized. This tool is able to identify the last known good status and oversee restoration of data  
 279 to that state. As with the above projects, a logging capability is important to record relevant  
 280 information and provide that information to decision-makers.

281 These capabilities, combined with their roles before an event has occurred, allow an  
282 organization to appropriately recover from a loss of data integrity.

## 283 5 Project Integration

284 Building a comprehensive data integrity suite that addresses all functions of the Cybersecurity  
285 Framework requires adoption of all the aforementioned projects. Each project though, has  
286 components of the architecture that overlap. Thus, adoption of all architectures is not merely a  
287 build of three architectures but rather an integration and overlay of the three.

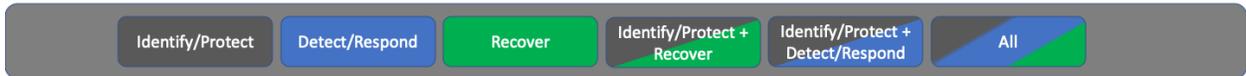
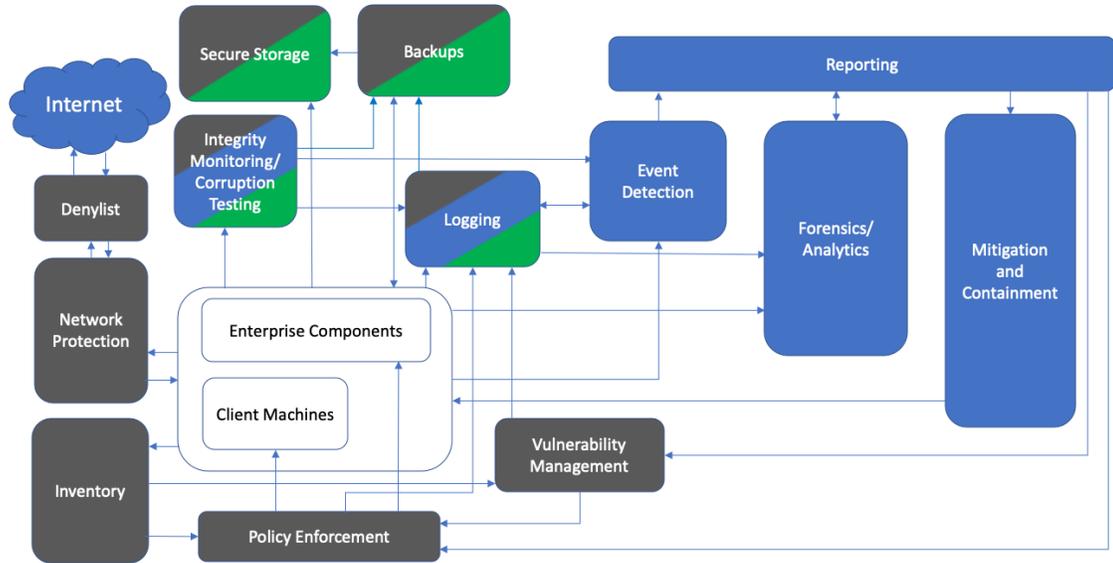
288 This section describes how to integrate and overlap the three architectures. It also provides  
289 guidance on considerations and limitations that an organization should address when using the  
290 architectures.

### 291 5.1 Combined Architecture

292 A combined DI solution is designed to implement the technologies from all three practice  
293 guides. It seeks to implement the security controls highlighted in the three practice guides  
294 through a combined security architecture. Figure 5-1 provides a high-level view of the necessary  
295 components and the data flows that exist between them.

296 Components that contain gray coloring can be found in SP 1800-25 and focus on identify and  
297 protect functionality. Components that contain blue coloring can be found in SP 1800-26 and  
298 focus on detect and respond functionality. Components that contain green coloring can be  
299 found in SP 1800-11 and focus on recover functionality. Any component with multiple colors  
300 occurs in multiple practice guides and represents key points of integration between them.

301 The capabilities of Integrity Monitoring and Corruption Testing have been combined in Figure  
302 5-1 due to their similar roles and data flows in their respective data integrity solutions. The  
303 capability's terminology evolved in the time elapsed between projects, and the consolidation of  
304 the terms in the combined architecture diagram are intended to reflect that consolidation.



305  
 306

Figure 5-1 Overarching Architecture

307 If choosing to implement the combined architecture, all three practice guides will provide the  
 308 details; yet, there may be additional considerations including duplicative instructions,  
 309 additional setup, and integration steps. Reach out to the NCCoE Data Security team at ds-  
 310 nccoe@nist.gov for additional integration guidance.

## 311 6 Cross Function Interactions

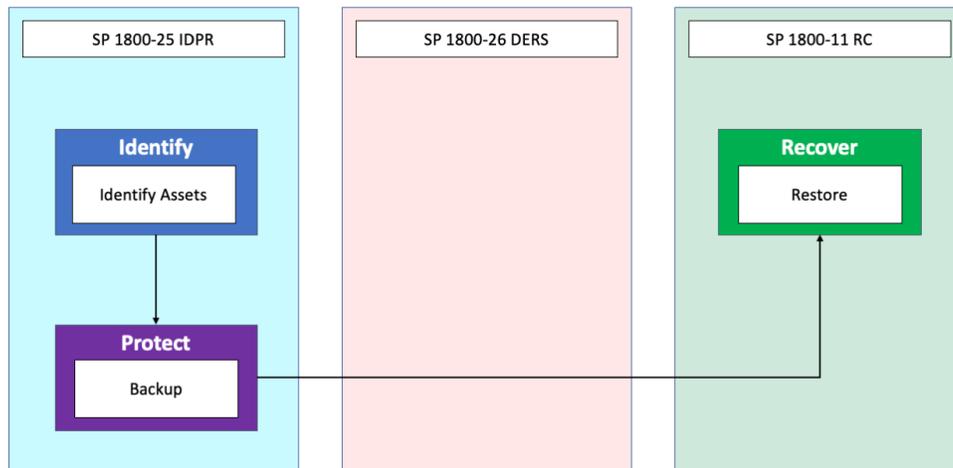
312 Each of these projects produced a unique architecture that addressed the requirements and  
313 capabilities necessary to achieve the desired end state. Each project was comprised of specific  
314 components that worked in unison for their specific objective; but information gained during  
315 use case scenario testing and functions performed within one project actually provides valuable  
316 information to functions in other data integrity projects. For the purpose of this document, this  
317 type of information that is shared between functions will be termed cross-function exchange.

318 When applying the Cybersecurity Framework to the principle of data integrity, it is important to  
319 consider the effect various functions have on each other. For example, backup files, though a  
320 subcategory of Protect in SP 1800-25, do not actually mitigate damage until they are applied in  
321 the Recover function of SP 1800-11. Conversely, the Recover function of SP 1800-11 is ill-  
322 prepared without successful backup files being taken during the protect function in SP 1800-25.  
323 Similarly, information about a zero-day vulnerability is, by definition, unknown until the  
324 vulnerability has been exploited. Even though this information is not available until the  
325 Respond function of SP 1800-26, the information can be applied in the Identify function of SP  
326 1800-25 to mitigate future exploits of the same vulnerability. In this section, we discuss various  
327 ways the presented capabilities for the Data Integrity projects distribute information in order to  
328 strengthen each function.

### 329 6.1 Backup and Restoration

330 Perhaps the most obvious example of cross-function interaction lies within the action of  
331 recovering in the SP 1800-11 architecture. Certain assets within an organization are identified  
332 as critical (IDENTIFY). Backup files of these assets are created as a preemptive measure taken to  
333 protect data from modification (PROTECT). Once data has been modified undesirably, to the  
334 degree that the modification constitutes a loss of integrity, a restoration capability uses the  
335 information stored in these backup files to return the data to its pre-modification state  
336 (RECOVER).

337 In practice, backup and restoration are typically part of the same product, because one is  
338 useless without the other. Asset identification is sometimes a separate product which facilitates  
339 human identification of assets, depending on the needs of the organization.



340

341

**Figure 6-1 Backup and Restoration Cross Function Diagram**

342 To understand how other functions interoperate in situations such as:

343

- Integrity monitoring
- Malware detection
- Denylists
- Vulnerability response
- Policy updates and user privileges

344

345

346

347

348 See Appendix C— Cross Function Interactions.

## 349 **7 Additional Considerations**

350 As previously stated in the document, the capabilities listed are derived from the architectures  
351 proposed in the 1800-series documentation. These capabilities and exemplar technologies are  
352 not the only capabilities that can provide data security. Should an organization wish to  
353 implement other data security technologies, substitution for capabilities can easily occur and  
354 achieve the same architectural goals from the 1800-series documents, so long as the  
355 substituted technologies provide the same framework functions and subcategories. Thus, in  
356 each of the 1800-series documents, a mapping to the Cybersecurity Framework is provided as a  
357 tool to enable this substitution. This capability of using the mapping as a guide to use other  
358 technologies demonstrates NCCoE's tenet of proposing flexible and adaptable solutions.

359 As an example of differing capabilities that provide data security, Appendix D— Additional Data  
360 Security Capabilities discusses other relevant technologies that address elements of data  
361 security and additional sources of information about them.

362 **8 Summary**

363 Using the guidance in this document, an organization can cohesively integrate and apply the  
364 guidance in the Data Integrity suite of practices guides: SP 1800-11, SP 1800-25, SP 1800-26.  
365 Implementing this guidance will allow an organization to address security needs with respect to  
366 the integrity of their data across all five functions of the NIST Cybersecurity Framework:  
367 Identify, Protect, Detect, Respond, and Recover. Once all necessary tools and systems are  
368 integrated, this document also provides guidance on how to constantly improve an enterprise  
369 cybersecurity posture by effectively applying information gathered from each of the steps to  
370 the other areas. In the end, organizations will be better prepared to handle the impact of a data  
371 integrity event within their enterprise.  
372

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418 [protect-sensitive-data-part-1](http://www.ibmbigdatahub.com/blog/implement-data-masking-protect-sensitive-data-part-1)

419

## Appendix A—Acronyms

<b>CSF</b>	Cybersecurity Framework
<b>CPU</b>	Central Processing Unit
<b>DI</b>	Data Integrity
<b>DERS</b>	Detect/Respond [CSF Categories]
<b>IDPR</b>	Identify/Protect [CSF Categories]
<b>NCCoE</b>	National Cybersecurity Center of Excellence
<b>NICE</b>	National Initiative for Cybersecurity Education
<b>NIST</b>	National Institute of Standards and Technology
<b>SP</b>	Special Publication
<b>RC</b>	Recover [CSF Category]

420

## Appendix B—Glossary

**Architecture** A highly structured specification of an acceptable approach within a framework for solving a specific problem. An architecture contains descriptions of all the components of a selected, acceptable solution while allowing certain details of specific components to be variable to satisfy related constraints (e.g., costs, local environment, user acceptability).

SOURCE: FIPS 201-2

**Asset** A major application, general support system, high impact program, physical plant, mission critical system, personnel, equipment, or a logically related group of systems.

SOURCE: CNSSI 4009-2015

**Backup** Duplicating data onto another medium

SOURCE: NIST SP 800-69

**Backup files** A copy of files and programs made to facilitate recovery if necessary.

SOURCE: NIST SP 800-34 Rev. 1

**Denylist** A list of discrete entities, such as hosts or applications, that have been previously determined to be associated with malicious activity.

SOURCE: NIST SP 800-94

**Cybersecurity** Prevention of damage to, protection of, and restoration of computers, electronic communications systems, electronic communications services, wire communication, and electronic communication, including information contained therein, to

ensure its availability, integrity, authentication, confidentiality, and nonrepudiation.

**SOURCE:** CNSSI 4009-2015 (NSPD-54/HSPD-23)

**Data**

A subset of information in an electronic format that allows it to be retrieved or transmitted.

**SOURCE:** CNSSI-4009

**Data Integrity**

The property that data has not been changed, destroyed, or lost in an unauthorized or accidental manner.

**SOURCE:** CNSSI-4009

**Event**

Any observable occurrence in an information system.

**SOURCE:** [NIST SP 800-53 Rev. 4 \(Adapted from CNSSI 4009\)](#)

**Firewall**

A gateway that limits access between networks in accordance with local security policy.

**SOURCE:** [CNSSI 4009-2015 \(NIST SP 800-32\)](#)

**Maintenance**

Any act that either prevents the failure or malfunction of equipment or restores its operating capability.

**SOURCE:** NIST SP 800-82 Rev. 2

**Malware**

A program that is inserted into a system, usually covertly, with the intent of compromising the confidentiality, integrity, or availability of the victim's data, applications, or operating system.

**SOURCE:** NIST SP 800-111

**Policy**

Statements, rules or assertions that specify the correct or expected behavior of an entity. For example, an authorization

policy might specify the correct access control rules for a software component.

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**SOURCE:** NIST SP 800-95

**Privilege**

A right granted to an individual, a program, or a process.

**SOURCE:** CNSI 4009-201

**Reporting**

The final phase of the computer and network forensic process, which involves reporting the results of the analysis; this may include describing the actions used, explaining how tools and procedures were selected, determining what other actions need to be performed (e.g., forensic examination of additional data sources, securing identified vulnerabilities, improving existing security controls), and providing recommendations for improvement to policies, guidelines, procedures, tools, and other aspects of the forensic process. The formality of the reporting step varies greatly depending on the situation.

**SOURCE:** NIST SP 800-86

**Vulnerability**

Weakness in an information system, system security procedures, internal controls, or implementation that could be exploited or triggered by a threat source.

**SOURCE:** FIPS 200 (Adapted from CNSI 4009)

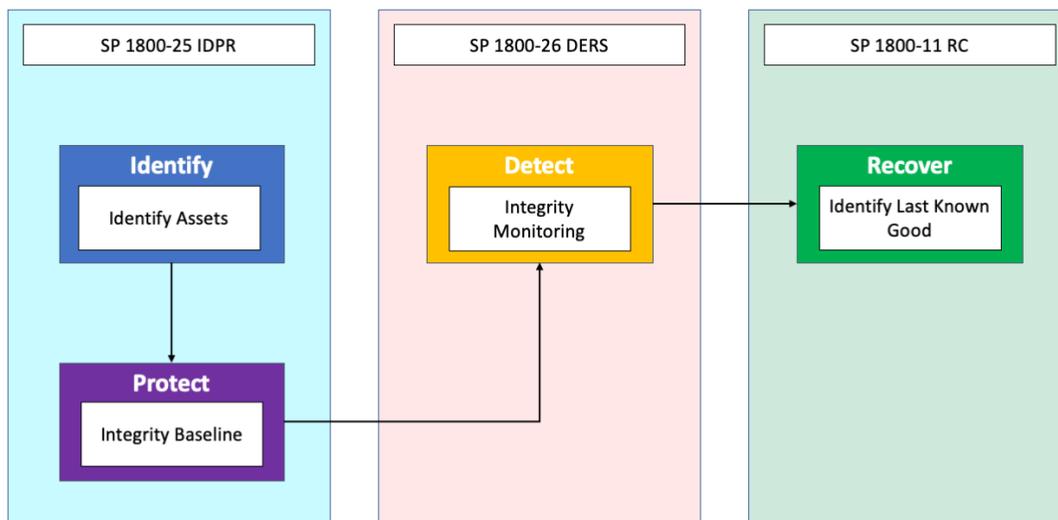
425 **Appendix C—Cross Function Interactions**

426 **C.1 Integrity Monitoring**

427 Monitoring the integrity of files, programs, and systems in an enterprise is a process that takes  
428 place across multiple functions of the Cybersecurity Framework. Again, a prerequisite to  
429 Integrity Monitoring, similar to backups, is that critical assets in an enterprise have been  
430 identified (IDENTIFY). An initial baseline is typically performed before an attack ever occurs.  
431 This essentially means assuming the system is in a “good” state and recording integrity  
432 information for relevant assets while in this state (PROTECT).

433 The primary purpose of the baseline is to be used in comparison with the current state of  
434 operations. Whenever assets such as programs, files, and systems, are changed, these changes  
435 are logged. From there, they can be used as indicators of destructive data integrity events

436 (DETECT), and to inform decisions made when restoring to the last known good (RECOVER). As  
437 integrity monitoring software typically provides information such as the user, program, and  
438 time associated with any changes, it can aid administrators in deciding which backup  
439 constitutes the “last known good”.

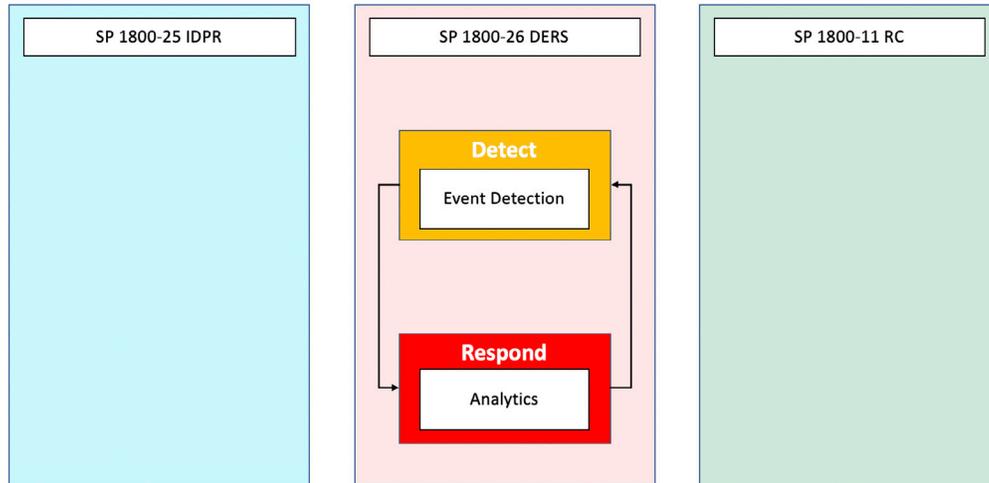


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Figure C-1 Integrity Monitoring Cross Function Diagram

## 442 C.2 Detection

443 In the SP 1800-26 architecture, event detection can be significantly enhanced through  
444 iterations of functions in the Cybersecurity Framework. Either through use of signatures or  
445 recognition of behaviors, information is gained enabling an appropriate response. The more  
446 quickly information is gained from an attack and applied, the earlier in the cycle the executable  
447 can be stopped (RESPOND). The information about the malware can be used to prevent the  
448 next attack and detect the attack if it spreads to other systems (DETECT).



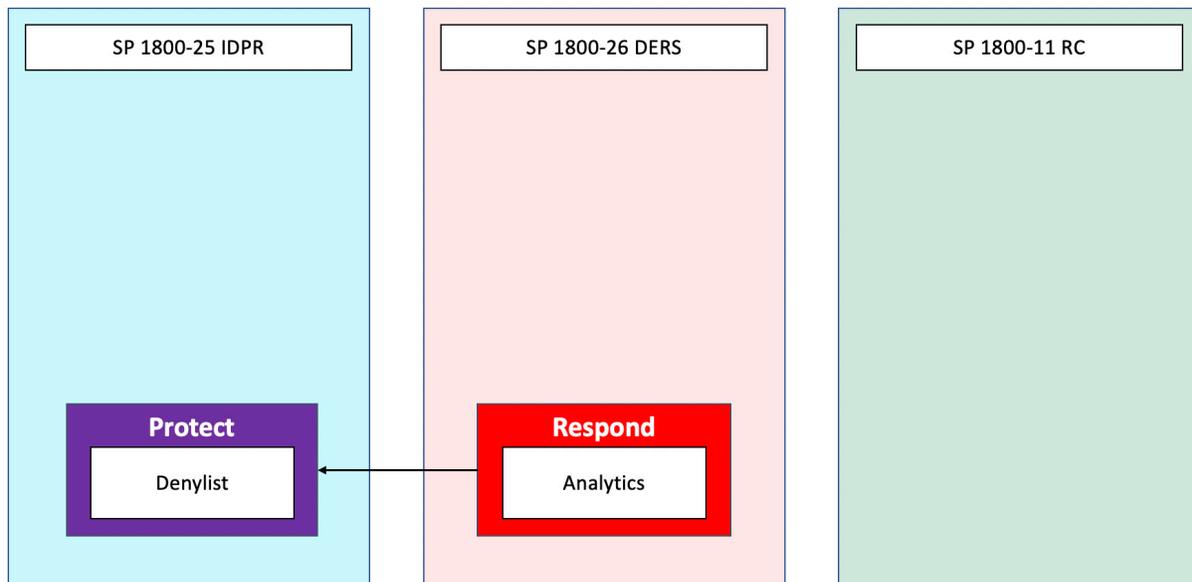
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Figure C-2 Detection Cross Function Diagram

### 451 C.3 Denylist

452 Denylists, though typically a measure taken before an attack happens to prevent  
453 communication between workstations and potentially malicious servers, rely on their ability to  
454 adapt to new information. Denylists are a simple way of enhancing an organization’s Respond  
455 capabilities and Protecting from future attacks. An organization with sufficient detection  
456 capabilities can learn from an attack by observing where the attack originated from, and the  
457 servers the attack communicated with (RESPOND). After review to ensure that the servers  
458 involved are indeed malicious, the servers can simply be added to the denylist. Furthermore,  
459 future malware which originates from these servers would be prevented before the attack  
460 happens (PROTECT).

461

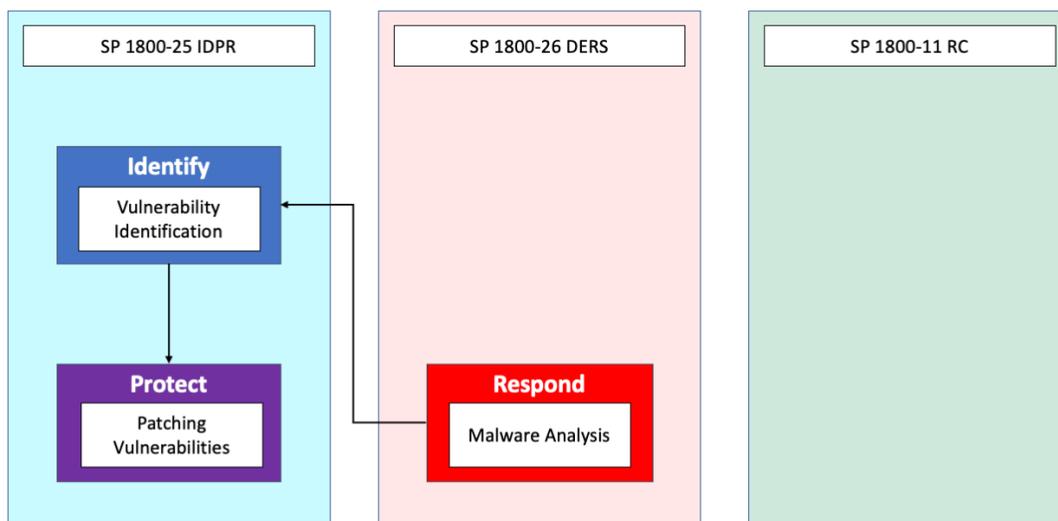


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464 **Figure C-3 Denylist Cross Function Diagram**

465 **C.4 Vulnerability Response**

466 Exploitations resulting from zero-day vulnerabilities are difficult to protect against. They are  
467 typically attacks on previously undiscovered or unknown vulnerabilities. Products may have  
468 varying success detecting these zero-days before they happen. If these products fail to detect  
469 an exploitation attempt, the information gathered from the attack after it has started  
470 (RESPOND) can be applied to discover (IDENTIFY) and fix (PROTECT) vulnerabilities.



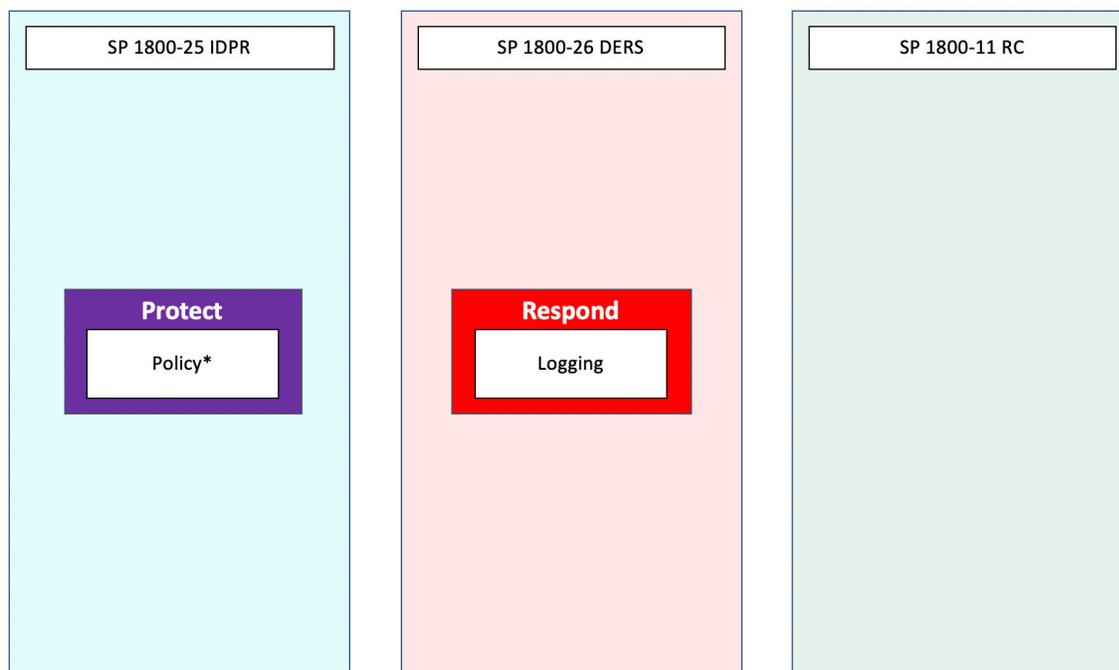
471 **Figure C-4 Vulnerability Response Cross Function Diagram**

473 **C.5 Policy Updates and User Privileges**

474 There are other ways to mitigate destructive data integrity attacks. Information about malicious  
475 insiders gained from logs (RESPOND) can be used to restrict privileges and inform policy  
476 changes within the organization (PROTECT). Policy changes can be anything from restricting  
477 downloads of certain file types to reforming the organization’s password policies to better  
478 thwart attacks. These policy changes typically would require some sort of human element, and  
479 they are specific to the software and construction of the enterprise in question – but they can  
480 be informed by studying the data made available after an attack has occurred.

481 Restricting user privileges is an access control function and can be a reaction to the discovery of  
482 a malicious insider, or something less straightforward, such as a web server system account  
483 being able to access resources that shouldn’t be available to clients. Access control is discussed  
484 in other projects at the NCCoE [8, 9] , but the information which informs these changes is  
485 aggregated in the Logging capability in SP 1800-26 and SP 1800-11.

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Figure C-5 Policy Updates and User Privileges Diagram

## Appendix D—Additional Data Security Capabilities

492

### D.1 Data Tokenization and Data Masking

493 Data tokenization “is the process of replacing sensitive data with surrogate values that remove  
494 risk but preserve value to the business” [11]. The concept aims to remove valuable data from  
495 use in order to reduce the risk of compromise or corruption to the data. Data masking is a type of  
496 data obfuscation that implements a process of “de-identifying or scrambling specific data  
497 elements to protect them from unauthorized access by specific groups of end users” [12],  
498 again, aiming to reduce the risk of compromise or corruption.  
499

500 Both of these concepts are in utilized in the NCCoE publication entitled “Securing Non-Credit  
501 Card, Sensitive Consumer Data: Consumer Data Security for the Retail Sector” [10]. This  
502 document provides a high-level architecture and example scenarios where these types of data  
503 security techniques may be impactful.

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505

### D.2 Content Filtering

506 The Committee on Nation Security Systems defines in CNSSI No. 4009 a security filter as “a  
507 secure subsystem of an information system that enforces security policy on the data passing  
508 through it.” [6] Content filtering is a type of security filter that is designed to explicitly enforce a

509 security policy on data. This technology can be applied in many different places throughout an  
510 organization including at the network layer, the application layer, or in a specialized appliance.  
511 As an example, in SP 1800-26 a content filtering device was incorporated in a specialized email  
512 sanitization device to enforce both event detection and mitigation capabilities. In event  
513 detection, the content filtering device is enforcing the security policy and in the mitigation  
514 capability the device can sanitize any malicious data before it ever reaches an end user device.  
515

516 Although the SP 1800-26 document does use content filtering, it was referenced by the  
517 capabilities it provided, event detection and mitigation, through a specialized device. This  
518 content filtering technology, as stated above, could be applied to more places within the  
519 infrastructure should an adopting organization desire.

520

### 521 **D.3 Additional Capabilities**

522 Many long-standing capabilities (e.g., anti-virus, denylisting, browser-blockers) and more newly  
523 developing technologies (e.g., block-chain) will continue to be options to build into a data  
524 security strategy. It is not the intention of NCCoE documents to represent one specific  
525 capability over another or advocate for one specific vendor. Instead, through a series of  
526 architectural builds, the projects aim to provide technical guidance and reference architectures  
527 that address the challenge of data integrity. These architectures implement commercial and  
528 open-source products, standards, and best practices that align to the Cybersecurity Framework  
529 and illustrate how to implement the functions and subcategories of the framework.

530

### 531 **D.4 Additional Sources for Information**

532 In recent publications FireEye [3] assesses that ransomware attacks “have cost victims across a  
533 variety of industry verticals many millions of dollars in ransom and collateral costs....[ and]  
534 significant disruptions and delays to the physical processes that enable organizations to  
535 produce and deliver goods and services.” The publication continues by explaining that across  
536 industries there exists “multiple disclosures of ransomware infections in both IT [(information  
537 technology)] and OT [(operational technology)] networks. Infections result in the same  
538 outcome: insufficient or late supply of end products or services.”

539 Ransomware maintains its success by continuing to be able to evolve and adapt to remediation  
540 attempts that organizations implement. The Federal Bureau of Investigation (FBI) Cyber Division  
541 has engaged in this battle against ransomware by also producing publications to help explain  
542 this type of malware and considerations that organizations should enact. As an example, FBI  
543 publications [4] explain “ransomware is a form of malware that targets both human and  
544 technical weakness in organizations and individual networks in an effort to deny the availability  
545 of critical data and systems. [...] Recent iterations target enterprise end users, making  
546 awareness and training a critical preventative measure.” The literature provides high level  
547 consideration focused on prevention, business continuity, and other technical considerations.

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