

New regulatory model

Primary medical and dental services Thursday 31 March 2022

Meet the webinar team



- Dr Rosie Benneyworth, Chief Inspector for Primary Medical Services and Integrated care
- Andy Brand, Interim Head of Inspection (East of England Region)
- Dr Tim Ballard, National Professional Adviser General Practice
- John Milne, National Professional Adviser Oral Health / Dentistry
- David Gwyther, Communications and Engagement Manager
- Latoya Tawodzera, Provider Engagement Officer
- Steph Lowe, Events Officer

What we will cover today



- CQC Strategy
- Current CQC primary medical and dental services regulatory approach and inspection priorities
- Current and future regulatory model
- Assessment Framework
- Q&A

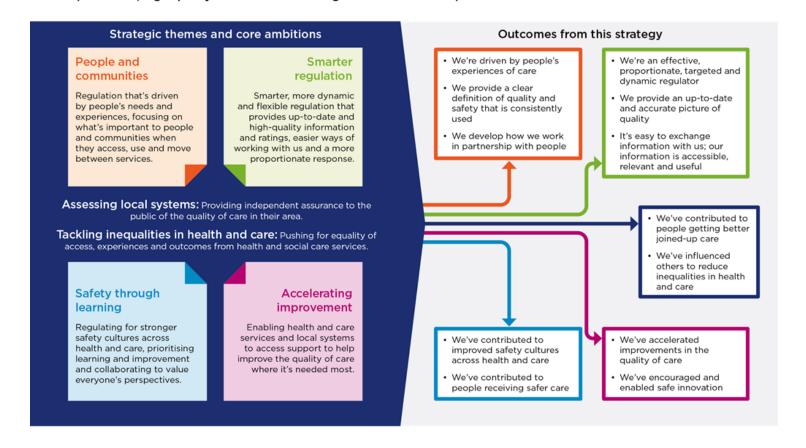


Background



Our purpose

We make sure health and social care services provide people with safe, effective, compassionate, high-quality care and we encourage care services to improve.



Current Regulatory approach



- Responding to risk
- Focused inspections
- Inadequate and Requires improvement services
- Registered and unrated services



Priorities for General Practice inspections



Focus on inspecting where there is risk, in particular those that could not be completed due to the pause in inspections

- Follow up inspections of inadequate/special measures services
- Band 1 sampling
- Urgent and Emergency care inspections



Urgent and Emergency Care inspections



We are restarting work to coordinate our approach to inspecting urgent and emergency care pathways

This will include focused inspections of a sample of GP locations within identified systems



Priorities for Dental inspections



- Practices that have not been visited since before 2015
- Practices providing services using conscious sedation
- Continued evolution of "smarter working" to reduce burden on providers whilst still
 assuring the public of good care.
- Continued focus on the DMA as an assessment / monitoring tool.
- Promote the use of Intelligence to aid our monitor function.
- Giving priority to "Safe" "Effective" and "Well Led"
- Continuing to have regard for access issues in dentistry
- Following up on "smiling matters"- joint working with ASC and system wide.
- Build on the outcome of our pilot inspection work with the Acute sector.



Our model now and in the future

Model: Now

Assessment frameworks (multiple)

Ongoing monitoring but inspections schedule based on previous rating

Inspection: gather evidence using KLOEs (Single point in time)

Develop judgements (offline)

Line-up judgements against ratings characteristics

Publish narrative inspection report

Process

Single assessment framework

Ongoing assessment of quality and risk

Not just inspection variety of options
(multiple points in
time) – more time
spent in higher risk
services

Team **assigns score** based on evidence found

Ratings updated, **short statement** published

Model: Future



Our assessment framework



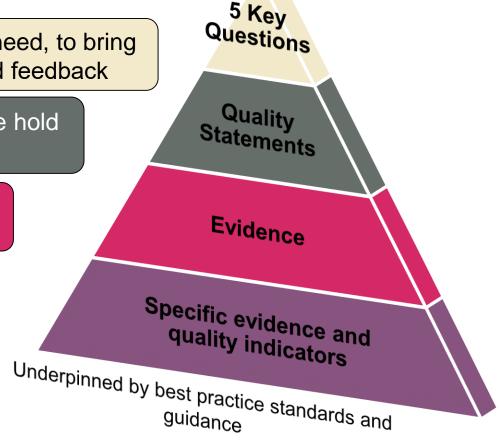
Our framework will assess providers, local authorities and integrated care systems with a consistent set of key themes, from registration through to ongoing assessment

Aligned with "I" statements, based on what people expect and need, to bring these questions to life and as a basis for gathering structured feedback

Expressed as "We" statements; the standards against which we hold providers, LAs and ICSs to account

People's experience, feedback from staff and leaders, feedback from partners, observation, processes, outcomes

Data and information specific to the scope of assessment, delivery model or population group





'I' statement: When I move between services, settings or areas, there is a plan for what happens next and who will do what, and all the practical arrangements are in place.



'We/Quality' statement: We work in partnership with others to establish and maintain safe systems of care in which people's safety is managed, monitored and assured, especially when they move between different services.



The 5 key questions and topics

Safe

- Learning culture
- Safe systems, pathways and transitions
- Safeguarding
- Involving people to manage risks
- Safe environments
- Safe and effective staffing
- Infection prevention and control
- Medicines optimisation

Responsive

- Person-centred care
- Care provision, Integration, and continuity
- Providing information
- Listening to and involving people
- Equity in access
- Equity in experiences and outcomes
- Planning for the future

Effective

- Assessing needs
- Delivering evidence-based care and treatment
- How staff, teams and services work together
- Supporting people to live healthier lives
- Monitoring and improving outcomes
- Consent to care and treatment

Caring . Kindnes

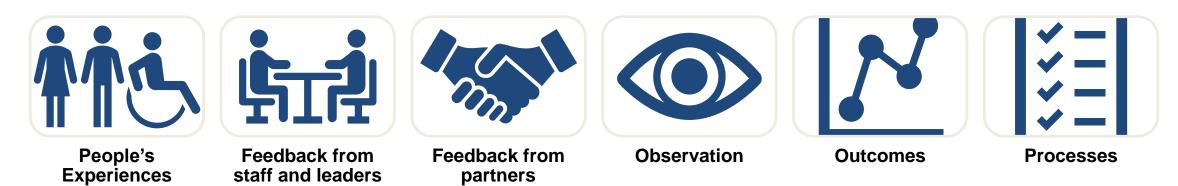
- Kindness, compassion and dignity
- Treating people as individuals
- Independence, choice and control
- Responding to people's immediate needs
- Workforce wellbeing and enablement

Well-led

- Shared direction and culture
- Capable, compassionate and inclusive leaders
- Freedom to speak up
- Governance and assurance
- Partnerships and communities
- Learning, improvement and innovation
- Environmental sustainability
- Workforce equality, diversity and inclusion

Evidence categories

- Six evidence categories and key pieces of evidence required to make a judgement for each quality statement
- The required evidence will differ according service type or level of assessment
- Tailored evidence requirements, updated over time to reflect standards and guidance
- All information easily accessible for both our inspection teams as well as providers



Oversight of systems



- Single assessment framework applied flexibly to meet different requirements
- Areas of focus for Integrated Care Systems:
 - Leadership
 - Integration
 - Quality and safety
- Areas of focus for Local Authority assessments:
 - Working with people
 - Providing support
 - Ensuring safety
 - Leadership

What's next?



- Continued engagement to develop the new regulatory model further
- Start to 'scenario test' the model with small numbers of providers

We'll continue to share opportunities to get involved with shaping this work and we'll look to share more detail on when the new regulatory model will be rolled out soon.

How to stay up to date





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Continue the conversation

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Any questions?





Thank you and close



